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Who was involved in the QIP development: Denise Brandvold, M.Ed. RDDPA Nancy Brustad, M.Ed. LR KIDs Coordinator Marcia Schneider, LSW Exp. Parent Erin Bommersbach, M.S., CCC-SLP Region III ICC members	
What data was reviewed to support findings? Data from ASSIST; December 1, 2005 618 data; Child Outcomes Measurement tool; Family Outcomes Measurement Tool; File Review Data; Compliance Review Data	
Focus Group? Yes or No. If yes, describe the group, issues, and responses: No – will discuss with Region III ICC	

Executive Summary:

Please provide an executive summary of the team's findings in the research and analysis of data. You will want to include the major points that will be discussed in the rest of the plan. Highlight the accomplishments of the region, compare the regional data with state and federal targets, and provide an overview of what will be addressed in the coming year for improvement issues. Please make certain that you address the issues that are the focus of your improvement plan. Enter Executive Summary Here.....

Region III provides services to a six county area (Benson, Cavalier, Eddy, Ramsey, Rolette, Towner) as well as the Spirit Lake Reservation and the Turtle Mountain Reservation. As a region, for Indicator #5, the current percentage for serving infants/toddlers under one year old is 1.61%. This is just below the state target percentage of 1.75%. Indicator #6 for Region III measures the percent of infants/toddlers birth to 3 with IFSPs compared to the state data. At the time the data was queried, the number of infants/toddlers that were served was 43. The percentage for our Region was 2.38% with the state percentage of 3.07%. The state target was 2.89%. This is unusually high for our region for both groups. Previous data all indicated we were achieving percentages well above the state average and state target. The data breakdown for under one year old and under three years old, indicates that we provided services to more female children than male children. However, the numbers are fairly evenly split between the sexes when they reach three years old.

The data provided in this report indicates that Region III is achieving 100% compliance in many areas. Region III has developed action steps/activities to work towards reaching the target goals and expectations of the Part C requirements. Indicator #1 results is well above the overall state percentage of 59.26%. Region III has a percentage of 80%. This indicator addresses services on the IFSP being delivered in a timely manner. Indicator #2 identifies the number of infants/toddlers with IFSPs who receive EI services in the home or programs for typically developing children. Region III is at 100% for this indicator. DDCM and ID staff are striving to maintain this percentage. Indicator #3 focuses on infants/toddlers with IFSPs who demonstrate improvements in : positive social-emotional skills (including social relationships) {A}, acquisition and use of knowledge and skills (including early language/communication {B}, and use of appropriate behaviors to meet their needs {C} Data provided (20 cases) indicated there were no infants/toddlers with exit data this quarter that had been in the program for at least 6 months. Subindicator A indicates there are 65% of the infants/toddlers scoring above and 35% below compared to

same-age peers' skills. Subindicator B indicates that 35% of the infants/toddlers are scoring above same age peers and 65% below. Subindicator C indicates there are 60% of the infants/toddlers scoring above same age peers and 45% below. There are 5% scoring "at" the same level. Target measures are to be determined in the future. Indicator #4 measures the percent of families participating in Part C who report that EI services have helped the family by: Know their rights {A}; Effectively communicate their children's needs {B}; and Help their children develop and learn {C}. Region III was 78.57% for {A} with the state percentage of 84.51%. Region III was 92.86 % for {B} with the state percentage of 88.68%. Region III was 85.71% for {C} with the state percentage of 85.92%. Measureable targets/percentage will be determined. Improvement activities have been developed to improve these percentages. Indicator #7 measures the evaluation/assessment, initial IFSP meeting within the 45 day timeline. Region III achieved 57.14% (statewide 75.76%) without accounting for family reason. When accounting for family reasons, Region III achieved 100% compliance. Indicator #8 addresses transition activities: IFSPs with transition steps and services {A}; notification to LEA, if child potentially eligible for Part B {B}; and transition conference, if child potentially eligible for Part B. Region III for {A} is 100% with the state being 95.24%; Region III percentage for {B} is 75% with the state being 95.24%; Region III percentage for {C} is 100% with the state being 71.43%. Region III is striving for compliance and activities have been identified. Indicator #9 addresses the general supervision system. Region III has made progress in all the areas of the case review. Our cumulative percentage towards the target of 70% is 49.61% (gap of 20.39%). Region III is above the state percentage of 36.46%.

Region III has made gains in working towards achieving compliance in the indicators. The DDCM and Early Intervention staff have a positive working relationship and consult on a regular basis through joint meetings. ID staff have adapted the Routine Based Interview process to help identify family outcomes. Region III does request technical assistance from the Developmental Disabilities Unit/DHS on how to work towards compliance in all required areas for Part C.

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

Measurement: Percent = # of infants and toddlers with IFSPs who receive the EI service on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Numerator is the number of children of whom all services were received in a timely manner divided by the number of children whose files were reviewed. If a child had more than one service and not all services were received in a timely manner then the file was counted as out of compliance completely. Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: 4 infants and toddlers with IFSPs received early intervention services on their IFSPs in a timely manner divided by 5 infants and toddler with IFSPs times 100 = **80 percent**.

Discussion of Baseline Data:

Region III had nine cases reviewed. Of the nine children, 4 are receiving services in a timely manner. This exceeds the overall state percentage of 59.26%. The reason(s) for the one case are listed below.

	Jul-Sept. 06 Region 3	Oct.-Dec. 06 Region 3	Jan.-Mar. 07 Region 3	Apr.-Jun. 07 Region 3	Overall State
# Children	5				27
# Services Delivered timely	4				16
%	80.00%				59.26%

Example: There are 6 service(s) being provided in Region 3 to 5 child(ren). Of those services, 2 service(s) are not being received in a timely manner. Therefore 4 of 5 children are receiving all their services in a timely manner.

*The reason this service is not being received is due to **availability of parents/guardians to complete process. Availability of consults (i.e., OT) in a rural area does present challenges in providing the services.** The following graph illustrates the percentage of services received versus services not received. More information supporting this indicator can go here, such as within how many days were services started from IFSPs and how are the frequencies tracked.....also you can talk about what kind of service is not being received and if there is a trend or shortage there - answer those questions.*

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2006 (2006 - 2007)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2007 (2007 - 2008)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2008 (2008 - 2009)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2009 (2009 - 2010)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2010 (2010 - 2011)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. The start date for services (consults) will be determined at the IFSP meeting.	7/1/2007	ID home visitor DDCM
2. ID Administrative assistant will develop a spreadsheet to track services that are due each month.	7/01/2007	ID and administrative assistant
3. The data will be monitored quarterly to assure compliance with timely services (case reviews).	August and November 2007; March 2008	Denise Brandvold, M.Ed. RDDPA Nancy Brustad, M.Ed. LR KIDs Coordinator
4. Discussion will occur at each IFSP team meetings will identify the type, frequency and timing of the consults needed for each child.	Ongoing for each child	Team Members
5. Training for Part C compliance will be provided to the ID staff and DDCM staff.	Ongoing	Developmental Disabilities (State and Regional staff)

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive EI services in the home or programs for typically developing children.

Measurement: Percent = # of infants and toddlers with IFSPs who receive EI services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 2 R3 Quarterly'.

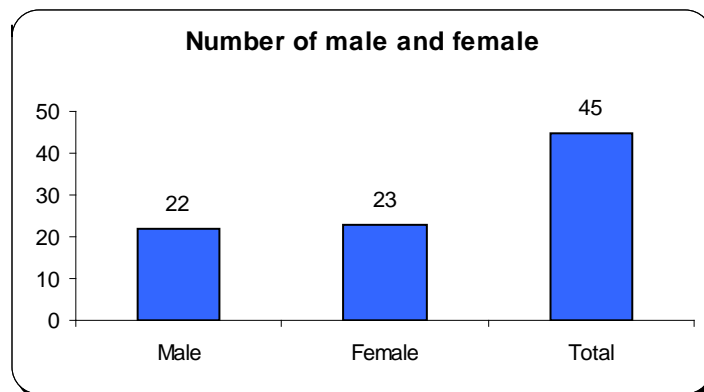
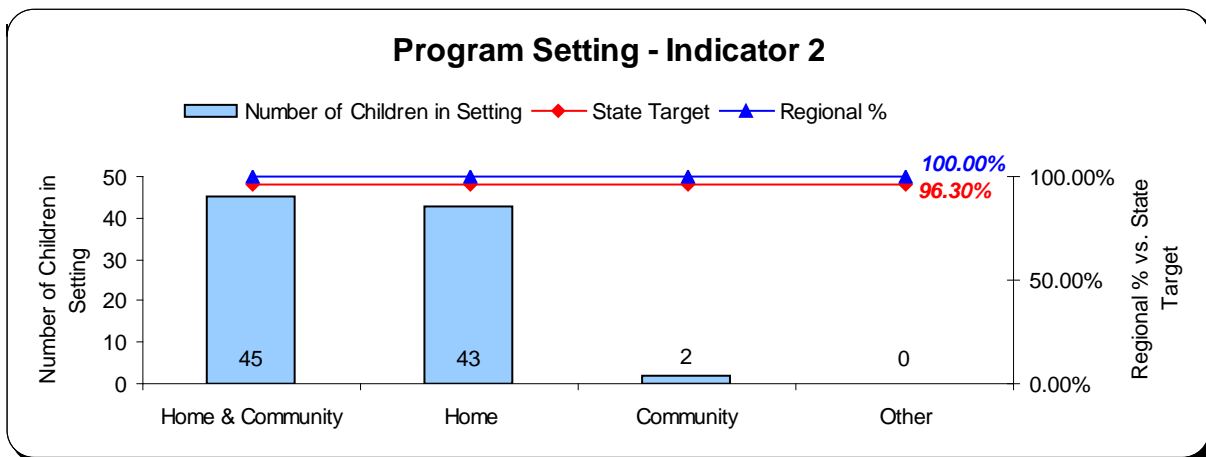
Baseline Data: 54 infants and toddlers with IFSPs received early intervention services in the home or programs for typically developing children divided by 54 infants and toddler with IFSPs times 100 = **100 percent**.

Discussion of Baseline Data:

Example: Current Quarter

Region III is in compliance with this indicator.

There are 45 infants and toddlers being served in Region 3. Of those, 2 are receiving services in a program for typically developing children and the other 43 are receiving services in their home. Therefore, 100% are being served in the home or program for typically developing children. The State target for FFY 2005-2006 is for 96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children. According to the data our region is exceeding the State target.



	Jul-Sept. 06 Region 3	Oct.-Dec. 06 Region 3	Jan.-Mar. 07 Region 3	Apr.-Jun. 07 Region 3	State Target
# Children	54	45	45		
Male	N/A	17	22		
Female	N/A	28	23		
Home & Community	54	45	45		
Male	N/A	17	22		
Female	N/A	28	23		
Other	0	0	0		
Male	N/A	0	0		
Female	N/A	0	0		
% in Home/Community	100.0%	100.0%	100.0%		96.30%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2006 (2006 - 2007)	96.4% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2007 (2007 - 2008)	96.5% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2008 (2008 - 2009)	96.6% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2009 (2009 - 2010)	96.8% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2010 (2010 - 2011)	97% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Parent education will be provided to identify the benefits of services in the natural environments rather than a clinical setting.	7/1/2007 Ongoing	ID DDCM
2. The ID home visitor will consult with therapists who are providing direct therapy to facilitate direct service strategies into the family routines.	7/1/2007 Ongoing	ID home visitor Parent Therapist
3. Training/technical assistance will be provided regarding "natural environments".	7/1/2007 Ongoing	State DSD Administrator Technical Assistance Team Regional DD Administrator

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);**
B. Acquisition and use of knowledge and skills (including early language/communication); and
C. Use of appropriate behaviors to meet their needs.

Measurement:

- A. Percent = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100;
 B. Percent = # of infants and toddlers who improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100; and
 C. Percent = # of infants and toddlers who did not improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100.

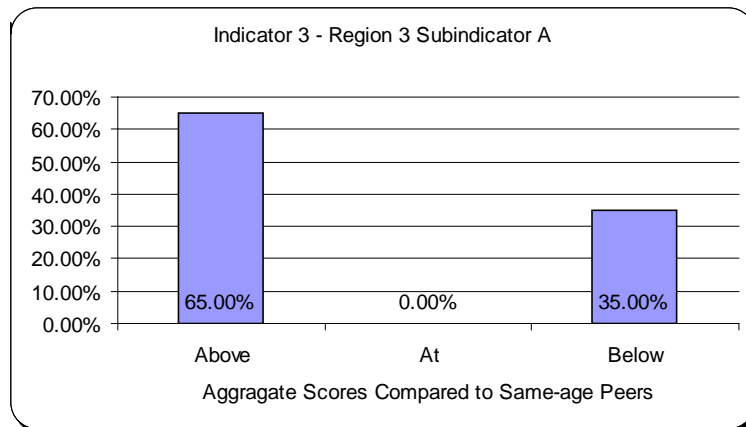
If children meet the criteria for A, report them in A. Do not include children reported in A in the B or C measurement. If A + B + C does not sum 100%, explain the difference.

Overview of Issue/Description of System Process:

The cases reviewed indicate the language/ communication are {B} is below same age peer skills. The other two areas {A & C} are above the same age peer skills.

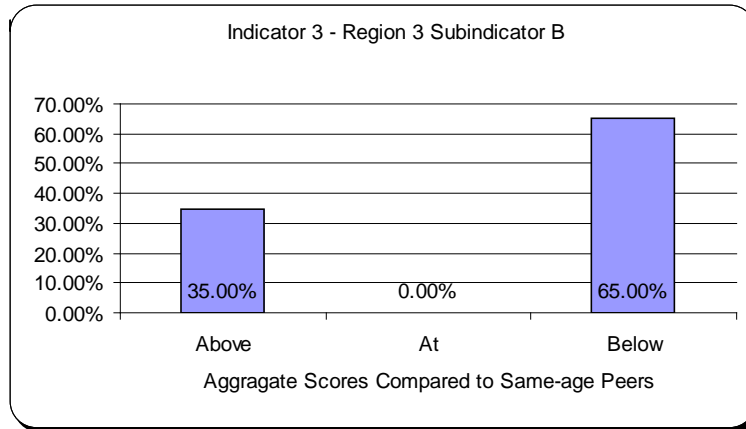
Baseline Data:

A. Positive social-emotional skills (including social relationships); Baseline data indicate that 20 of 25 files provided are clean; 65% children are functioning above age level, 0% are functioning at age level, and 35% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



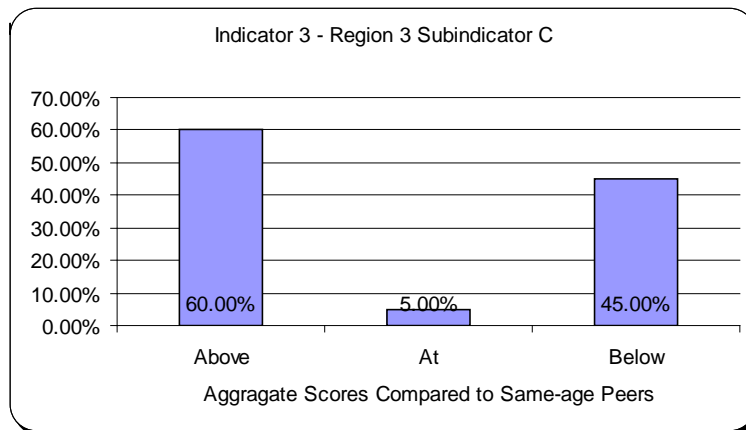
Indicator Table	N=7	Sub Indicator A 10/1/06			N=20	Sub Indicator A 4/1/07		
		Above	At	Below		Above	At	Below
		3	0	4		13	0	7
		42.86%	0.00%	57.14%		65.00%	0.00%	35.00%

B. Acquisition and use of knowledge and skills (including early language/communication); Baseline data indicate that 20 of 25 files provided are clean; 35% children are functioning above age level, 0% are functioning at age level, and 65% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=7	Sub Indicator B 10/1/06			N=20	Sub Indicator B 4/1/07		
		Above	At	Below		Above	At	Below
		2	0	5		7	0	13
		28.57%	0.00%	71.43%		35.00%	0.00%	65.00%

C. Use of appropriate behaviors to meet their needs. Baseline data indicate that 20 of 25 files provided are clean; 60% children are functioning above age level, 5% are functioning at age level, and 45% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=7	Sub Indicator C 10/1/06			N=20	Sub Indicator C 4/1/07		
		Above	At	Below		Above	At	Below
		2	0	5		12	1	9
		28.57%	0.00%	71.43%		60.00%	5.00%	45.00%

Discussion of Baseline Data: Region 3 had 25 files with Child PAR data provided by the state through the ASSIST system query (Data pulled from ASSIST Child PAR and provided in excel workbook on Indicator 3 tab.) Of those, 5 contained data errors. Therefore, 20 files were used for baseline data. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. ID staff will continue to complete PAR information at the child's entrance/admission, annually, and at exit of the program to document progression of the child's skills	Ongoing	ID DDCM
2. ID Staff will receive additional training on interpreting and entering data into the child PAR to decrease the number of errors.	10/01/2007	DSD staff Technical Assistance staff
3. The DDCM and ID staff will work closely to identify child PAR completion and reviews.	07/01/2007	DDCM ID staff
4. Training for EI staff/DDCM in the areas of: To learn new methods to acquire social skills for children To learn new methods to increase early language and communication skills for children To learn new methods to increase use of appropriate behaviors.	07/01/2007	LR KIDs staff LRHSC DDCM

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Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 4: Percent of families participating in Part C who report that EI service have helped the family:

- A. Know their rights;**
- B. Effectively communicate their children's needs; and**
- C. Help their children develop and learn.**

Measurement:

- A. Percent = # of respondent families who report that EI services have helped the family know their rights divided by the total # of respondent families participating in Part C times 100;
- B. Percent = # of respondent families who report that EI services have helped the family effectively communicate their children's needs divided by the total # of respondent families participating in Part C times 100; and
- C. Percent = # of respondent families who report that EI services have helped the family help their children develop and learn divided by the total # of respondent families participating in Part C times 100.

Overview of Issue/Description of System Process:

Data is collected through a Family Survey. Results are located in the Excel file on tab labeled 'Indicator 4'.

Baseline Data:

- A. Respondents who feel that EI has helped their family know and understand their rights:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
3	14	11	78.57%
Statewide	213*	180	84.51%

*2 respondents skipped this question.

- B. Respondents who feel that EI has helped their family effectively communicate their child's needs:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
3	14	13	92.86%
Statewide	212	188	88.68%

*3 respondents skipped this question.

- C. Respondents who feel that EI has helped their family to be able to help their child develop and learn:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
3	14	12	85.71%
Statewide	213*	183	85.92%

*2 respondents skipped this question.

Discussion of Baseline Data:

Rights are discussed at the time of the intake interview/completion and at each time the individual service plan is completed. . Data indicates the there is a need to increase the number of families that state they know their rights and that the EI service has helped their children develop and learn.
The Experienced Parent also provides information to the parents through newsletters and phone contacts.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined. 87%
2008 (2008 - 2009)	To be determined. 88%
2009 (2009 - 2010)	To be determined. 89%
2010 (2010 - 2011)	To be determined. 90%

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. At the time of intake, enrollment, and team meetings, rights will be reviewed and discussed.	7/01/2007 Ongoing	ID DDCM
2. Parent Rights handbooks and brochures will be given to parents and reviewed.	Ongoing	DDCM ID
3. Discuss the surveys with the parents and encourage them to complete ALL the questions on the surveys.	Ongoing	Experienced Parent ID DDCM
4. ID staff will complete the RBI to identify development and learning outcomes for each child.	Ongoing	ID
5. ID staff will increase parent participation and focus more on integrating items from the natural environment (reduce the "toy bag" usage).	Ongoing	ID
6. The Experienced Parent will include in the newsletters topics regarding rights, developmental skills, and activities.	8/1/2007	Experienced Parent LR KIDs DD Program Administrator

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:
A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to North Dakota.

Overview of Issue/Description of System Process:

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 3 early intervention system was serving 9 infants and toddlers birth to 1. The total population of Region 3 infants and toddlers birth to 1 was 558. **1.61 percent** of the total population under 1 was served.

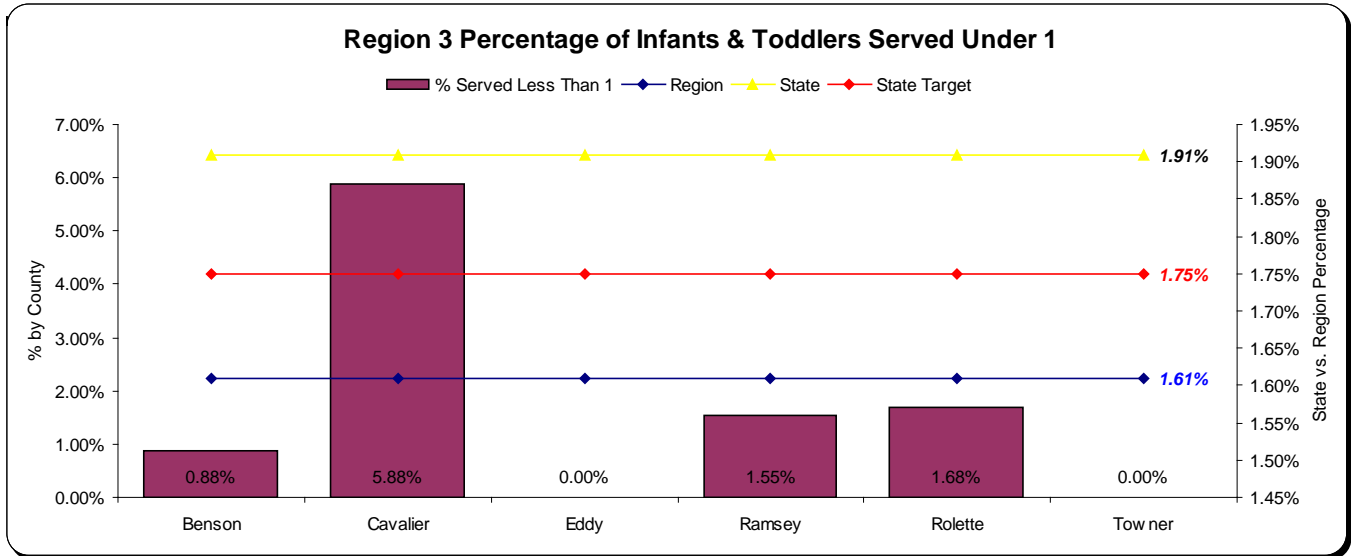
Discussion of Baseline Data:

We want to strive to meet or exceed the state target percentage. At the time the data was gathered, there were no children under one year old in Eddy or Towner Counties. However, the next table indicates there is a high percentage in Cavalier County. Region III is slightly below the state target percentage. There has been several referrals which are made when the child is already in the transition age (30 months or more), whom probably should have been referral prior to age one. Additional referral source training should be completed.

Percentage of Infants and Toddlers Served

County #	County	Less Than 1 in ID on 4/01/2007	Children Less Than 1 Living in County	% Served Less Than 1
3	Benson	1	114	0.88%
10	Cavalier	2	34	5.88%
14	Eddy	0	29	0.00%
36	Ramsey	2	129	1.55%
40	Rolette	4	238	1.68%
48	Towner	0	14	0.00%
Region III		9	558	1.61%
State		146	7,660	1.91%
State Target				1.75%

4/01/2007



Percentage of Infants and Toddlers Served under 1

	Jul-Sept. 06 Region 3	Oct.-Dec. 06 Region 3	Jan.-Mar. 07 Region 3	Apr.-Jun. 07 Region 3	Current Qtr. Percentage
Benson	4	2	1		0.88%
Cavalier	2	4	2		5.88%
Eddy	0	0	0		0.00%
Ramsey	2	3	2		1.55%
Rolette	5	4	4		1.68%
Towner	0	0	0		0.00%
Region III	13	13	9		1.61%
State	146				1.91%
Percentage	2.33%	2.33%	1.61%		-
State Target	-	-	-	-	1.75%

Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Benson	N/A	N/A	0	2	1	0		
Cavalier	N/A	N/A	1	3	1	1		
Eddy	N/A	N/A	0	0	0	0		
Ramsey	N/A	N/A	1	2	1	1		
Rolette	N/A	N/A	2	2	2	2		
Towner	N/A	N/A	0	0	0	0		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	1.75 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	1.78 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	1.81 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	1.84 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	1.87 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	1.90 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Referral sources in Eddy Towner and Benson Counties will be inserviced on early intervention and Right Track.	10/01/2007	DDCM ID Experienced Parent
2. Ramsey , Cavalier, Rolette Counties referral sources will be contacted to provide information on early intervention and Right Track Program.	10/01/2007	DDCM ID Experienced Parent
3. The public health nurses in each county will be contacted to review the early intervention services and referral process in the region.	04/01/2008	RDDPA LR KIDs Coordinator Right Track Coordinator
4. Continue to send out brochures and conduct in-services to regional referral sources as requests are made.	As requested	LR KIDs Coordinator RDDPA Experienced Parent Right Track Coordinator

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:
A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to North Dakota.

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 3 early intervention system was serving 43 infants and toddlers birth to 3. The total population of Region 3 infants and toddlers birth to 3 was 1,807. **2.38 percent** of the total population under 3 was served.

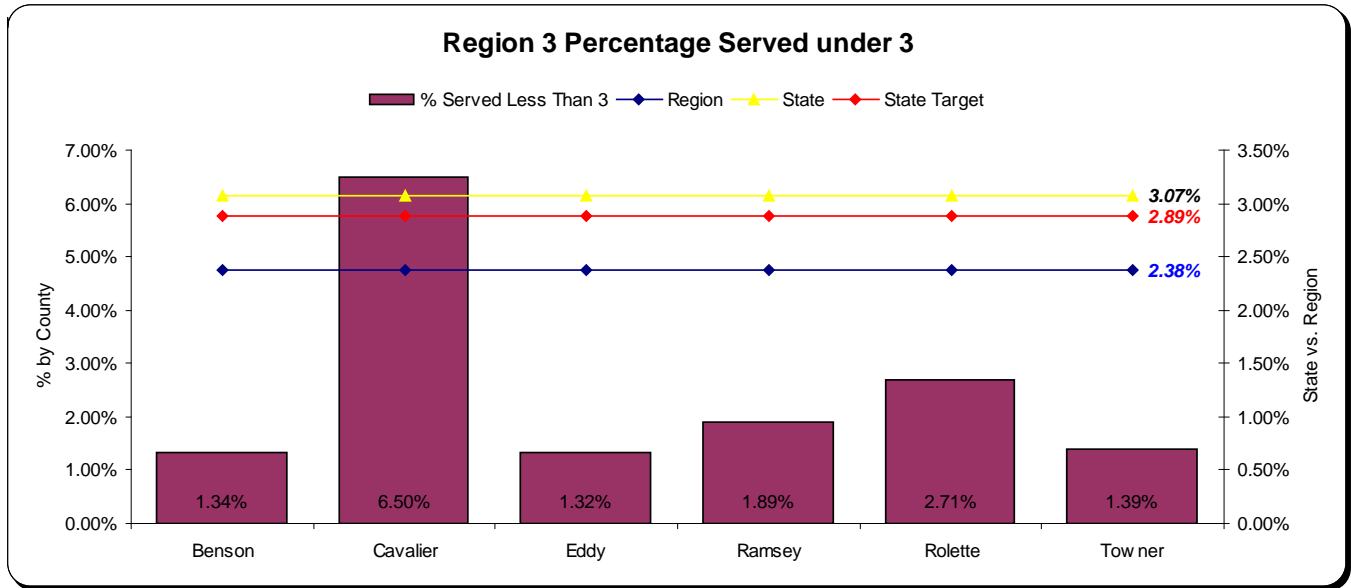
Discussion of Baseline Data:

Region III is below both the state percentage and the state target percentage. Unless a case plan is activated on the ASSIST program, the cases will not be counted for the queries. Regional staff will continue to monitor the pending case plans.

Percentage of Infants and Toddlers Served

County #	County	Number in ID on 4/01/2007	Children Less Than 3 Living in County	% Served Less Than 3
3	Benson	5	373	1.34%
10	Cavalier	8	123	6.50%
14	Eddy	1	76	1.32%
36	Ramsey	8	424	1.89%
40	Rolette	20	739	2.71%
48	Towner	1	72	1.39%
Region III		43	1807	2.38%
State		718	23,357	3.07%
State Target				2.89%

4/1/2007



Percentage of Infants and Toddlers Served under 3

	Jul-Sept. 06 Region 3	Oct.-Dec. 06 Region 3	Jan.-Mar. 07 Region 3	Apr.-Jun. 07 Region 3	Current Qtr. Percentage
Benson	14	5	5		1.34%
Cavalier	8	8	8		6.50%
Eddy	2	1	1		1.32%
Ramsey	8	7	8		1.89%
Rolette	27	22	20		2.71%
Towner	4	2	1		1.39%
Region III	63	45	43		2.38%
State	718				3.07%
Percentage	3.49%	2.49%	2.38%		-
State Target	-	-	-	-	2.89%

Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Benson	N/A	N/A	1	4	2	3		
Cavalier	N/A	N/A	3	5	3	5		
Eddy	N/A	N/A	0	1	0	1		
Ramsey	N/A	N/A	2	5	3	5		
Rolette	N/A	N/A	11	11	12	8		
Towner	N/A	N/A	0	2	0	1		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	2.89 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	2.98 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	3.07 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	3.16 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	3.25 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	3.34 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. The Regional Health Track screening contact in each county will be identified, contacted, and given early intervention information.	12/01/2007	DDCM ID
2. Referral sources in Region 3 Counties will be inserviced as to the available ID services and the benefit of early identification and referral.	10/01/2007	DDCM ID
3. Request to the DSD Administrator to provide an inservice and or review responsibilities and federal requirements as to agency roles in referring and qualifications of staff that provide early intervention services.	12/01/2007	DSD Administrator DDCM
4. DDCM and EI staff will complete and active the case plans upon eligibility, after case plan development, and as required due to client needs.	07/01/2007	LRHSC DDCM LR KIDs staff

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

Measurement:

Percent = # of eligible infants and toddlers birth to 1 with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

Overview of Issue/Description of System Process:

Baseline Data:

From April 2006 date to June 2006 date, 4 eligible infants and toddlers had evaluations, assessments and an initial IFSP meeting conducted within 45 days of referral. 4 infants and toddlers were found eligible. 57.14 percent of eligible infants and toddlers had evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Discussion of Baseline Data:

Of the cases reviewed, data is indicating for the area of hearing was 0.00%. In the areas of vision, cognitive, and communication the percentages were 75%. This was based on not accounting for family reasons. When accounting for only family reasons, the percentages went up in those areas. Several areas were also 100% compliance. However, hearing was still at 0.00% The 45 day timeline was in compliance with 100%. It has been a challenge to have hearing screenings completed due to the availability of qualified staff to complete the screenings and the rural areas in Region III.

Case Review Data (April, May, June 2006) – Without Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 3		Statewide	
	+	% +	+	% +
45 Day Timeline	4	57.14%	25	75.76%
Multi-Disciplinary Evaluation	4	100%	24	96.00%
Gross Motors	4	100%	24	96.00%
Fine Motor	4	100%	25	100%
Vision	3	75.00%	20	80.00%
Hearing	0	0.00%	10	40.00%
Cognitive	3	75.00%	20	80.00%
Communication	3	75.00%	23	92.00%
Adaptive	4	100%	24	96.00%
Social/Emotional	4	100%	23	92.00%

Statewide, of the 33 files, there were 25 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 3	Region 3	Region 3	Region 3	State Comparison
45 Day Timeline	57.14%				100%
Multi-Disciplinary Evaluation	100%				96.97%
Gross Motors	100%				93.94%
Fine Motor	100%				100%
Vision	75.00%				84.85%
Hearing	0.00%				42.42%
Cognitive	75.00%				84.85%
Communication	75.00%				93.94%
Adaptive	100%				96.97%
Social/Emotional	100%				93.94%

Case Review Data (April, May, June 2006) –Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 3		Statewide	
	+	% +	+	% +
45 Day Timeline	7	100%	33	100%
Multi-Disciplinary Evaluation	7	100%	32	96.97%
Gross Motors	7	100%	31	93.94%
Fine Motor	7	100%	33	100%
Vision	6	85.71%	28	84.85%
Hearing	0	0.00%	14	42.42%
Cognitive	6	85.71%	28	84.85%
Communication	6	85.71%	31	93.94%
Adaptive	7	100%	32	96.97%
Social/Emotional	7	100%	31	93.94%

Statewide, of the 33 files, accounting for those past the 45 days due to family reasons, there were 33 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 3	Region 3	Region 3	Region 3	State Comparison
45 Day Timeline	100%				100%
Multi-Disciplinary Evaluation	100%				96.97%
Gross Motors	100%				93.94%
Fine Motor	100%				100%
Vision	85.71%				84.85%
Hearing	0.00%				42.42%
Cognitive	85.71%				84.85%
Communication	85.71%				93.94%
Adaptive	100%				96.97%
Social/Emotional	100%				93.94%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2006 (2006 - 2007)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2007 (2007 - 2008)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2008 (2008 - 2009)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2009 (2009 - 2010)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2010 (2010 - 2011)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. ID and DDCM will tinue to explore options to address the hearing screening issue (lack of resources) in Region 3.	Ongoing	ID DDCM
2. ID staff will clearly outline all developmental areas written in the IFSP.	Ongoing	ID
3. Assist families and coordinate/schedule hearing screenings prior to the IFSP.	07/31/2007	LR KIDs LRHSC DDCM
4. Continued training will be provided regarding requirements for the IFSP and Part C requirements.	Ongoing and as needed	State DSD staff

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Part C Priority Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;**
- B. Notification to LEA, if child potentially eligible for Part B; and**
- C. Transition conference, if child potentially eligible for Part B.**

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to LEA occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

Overview of Issue/Description of System Process:

Region III data indicates that we are in compliance with 100% in {A & C}. However, in {B} we are at 75% which is below the statewide percentage of 95.24%. The EI staff and the LEAs have identified which agency will send the notifications to the parents and team members.

Baseline Data:

- A. Statewide, 20 of the sampled children exiting Part C had an IFSP with transition steps and services included in their IFSP. 21 children exiting Part C were sampled. **95.24** percent had an IFSP with transition steps and services. Regionally, 100 percent had an IFSP with transition steps and services.

Transition Issues identified and steps included to prepare family for transition			
Region	# in Compliance	Of How Many	Percentage
3	4	4	100.00%
Statewide	20	21	95.24%

- B. Statewide, LEAs were notified for 20 of the sampled children who were exiting Part C and were potentially eligible for Part B. 21 children exiting Part C and potentially eligible for Part B were sampled. LEAs were notified for **95.24** percent of the sampled children who were exiting Part C and were potentially eligible for Part B. Regionally, LEAs were notified for 75 percent of the sampled children who were exiting Part C and were potentially eligible for Part B.

Transition Issues identified and steps included to prepare family for transition			
Region	# in Compliance	Of How Many	Percentage
3	3	4	75.00%
Statewide	20	21	95.24%

- C. Statewide, 15 of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. 21 children exiting Part C and potentially eligible for Part B were sampled. **71.43%** percent of the sample children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. Regionally, 100 percent of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday.

Transition Issues identified and steps included to prepare family for transition			
Region	# in Compliance	Of How Many	Percentage
3	4	4	100.00%

Statewide	15	21	71.43%
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Discussion of Baseline Data:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006. The LEAs in Region III have been working closely with the EI staff to meet this requirement. This collaboration between the agencies has been very effective.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2006 (2006 - 2007)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2007 (2007 - 2008)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2008 (2008 - 2009)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2009 (2009 - 2010)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2010 (2010 - 2011)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. ID administrative assistance will notify LEA as children turn two or as soon as they are enrolled if over the age of two.	7/01/2007 Ongoing	ID Administrative assistant
2. Documentation of meeting notes will be available in ASSIST regarding transition activities in the IFSP.	7/01/2007 Ongoing	ID DDCM
3. ID will continue to work with the LEA(s) in Region 3 to schedule meetings in the summer months to meet the required deadlines.	7/01/2007 Ongoing	ID LEA(s)

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Monitoring Priority: Effective General Supervision Part C/ General Supervision

Part C Priority Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Measurement:

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to priority areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to such areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification.
- # of EIS programs in which noncompliance was identified through other mechanisms.
 - # of findings of noncompliance made.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Overview of Issue/Description of System Process:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: Case Review Data (April, May, June 2006)

Overall Compliance by Region & Statewide

Region	Region 3 % in Compliance	State % in Compliance
Compliance (Y/N)	N	N
Ratio Non-compliance: Compliant	7/9	7/9

Indicator 9 Compliance Data Points: Case Review Data (April, May, June 2006)

Compliance % by Region & Component, & State

Monitoring Survey Item	Region 3 % in Compliance	State % in Compliance
IFSP Effective Date	100.00%	100.00%
Functional & Measurable	77.78%	47.54%
Location of Services	100.00%	100.00%
Individual or Group	100.00%	100.00%
Delivery Method	100.00%	100.00%
Funding Source	100.00%	100.00%
Service Duration	100.00%	100.00%
Parent's Rights Documented	100.00%	100.00%
Rationale	77.78%	63.16%
6 Month & Annual Review	0.00%	0.00%
Written Prior Notice Provided	0.00%	0.00%

Indicator 9 Performance Data Points: Case Review Data (April, May, June 2006)

Progress % by Region & Component, & State

Monitoring Survey Item	Region 3 % of Progress	State % of Progress
Present Level of Performance	0.00%	14.83%
Child's Interest	11.11%	35.50%
IFSP Date	100.00%	88.82%
Minimum Participants Documented	0.00%	21.30%
Review of Pertinent Records	88.89%	75.11%
PLP Based on Objective Criteria	88.89%	54.20%
Early Literature	88.89%	55.19%
IFSP Included People Important to Family	33.33%	33.95%
Priorities Linked to Concerns, Strengths & Interests.	44.44%	16.72%
Included Family Interview	88.89%	56.04%
Priorities Ranked	0.00%	1.56%
Services and Supports Identified	87.50%	69.62%
Reflect Family Priorities	66.67%	39.72%
Developmentally Appropriate	88.89%	60.90%
Includes pre-literacy and language	66.67%	48.57%
Includes Routines Based Activities	22.22%	42.02%
Includes Use of Lay Language	11.11%	41.08%

Measurable Functional Activities	55.56%	46.19%
Frequency/Intensity Linked to Outcomes	55.56%	30.39%
Consultations Documented	55.56%	41.55%
Services	100.00%	21.39%
Devices	100.00%	23.66%
Discuss appropriate services	20.00%	22.50%
Review child's program options	50.00%	27.81%
Established Transition Plan	40.00%	19.06%
Steps taken to support child	25.00%	18.97%
Procedures to prepare child for new setting	0.00%	8.04%
Discussions of training of parents in training of future placement	0.00%	6.25%
Periodic Review Completed	0.00%	14.29%
Date and Team Members Included	0.00%	26.90%
Required IFSP Participants	0.00%	19.91%
	Region 3 % of Progress	State % of Progress
Cumulative % toward 70% Target (gap)	49.61% (20.39%)	36.46% (33.54%)

Discussion of Baseline Data:

The Regional staff (ID and DDCM) have been involved in more self monitoring activities. The documentation is more extensive in the IFSPs. A checklist is utilized when developing the IFSP so all the components are listed and included. The RBI is used with each family so the outcomes are more focused on the child and family. At the time the data was queried, Region III did not meet the 70% compliance with the Part C monitoring target. However, we have made gains and are well above the state percentage.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2006 (2006 - 2007)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2007 (2007 - 2008)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2008 (2008 - 2009)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2009 (2009 - 2010)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2010 (2010 - 2011)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. A checklist that includes all the components required in the IFSP is utilized.	Ongoing	ID
2. Quarterly reviews of the IFSPs are scheduled with all staff (ID and DDCM).	Ongoing	ID DDCM
3. The RBI is used to develop outcomes that are functional and measurable.	Ongoing	ID
4. DDCM, Experienced Parent, LR KIDs staff will attend training as it relates to Part C.	Ongoing	ID DDCM Experienced Parent

5. Region III will continue to participate in the monitoring review calls to review quarterly progress towards the 70% compliance target.	Quarterly basis	LR KIDs staff DDCM staff
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